Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-MAY-20** 

of M/s. NINI Securities (Pvt.) Ltd.

Submission Date 15-JUN-2020 16:06:09

1.2       Intangible         1.3       Investment         1.4       Investment         1.4       Investment         1.4       Investment         1.5% of the       ii.75% of the         ii.75% of the       ii.10% of the         ii.10% of the       ii.10% of the         ii.10% of the       ii.112.5% of the         1.5       Investmet         i.16       Investmet         ii.11       unlisted         iii.15% of the       iii.15% of the         1.5       Investmet         ii.16       unlisted         iii.11       unlisted         iii.11       unlisted         iii.12.5% of the       iii.16         1.15       Investmet         ii.16       unlisted         iii.17       unvestmet         1.6       Investmet         1.7       Investmet         1.8       Statutory on         1.10       Deposit wit         1.11       Other depo         1.12       Accrued in         1.13       Dividends fr         1.14       Amount pa         shall not be       inot be         1.1	t in Govt. Securities nt in Debt. Securities an: balance sheet value in the case of tenure upto 1 year. he balance sheet value, in the case of tenure from 1-3 years. I than: e balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. ht in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. iton money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. frout shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Image:	Assets t in Govt. Securities in Govt. Securities ian: balance sheet value in the case of tenure upto 1 year. be balance sheet value, in the case of tenure from 1-3 years. be balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. ht in Equity Securities so rVaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. I'cut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	10,796,217 2,995,521	10,796,217 2,995,521	
Initial Property         1.1       Property         1.2       Intangible.         1.3       Investment         1.4       Investment         1.5% of the       ii. 5% of the         ii. 7.5% of the       iii. 10% of the         ii. 10% of the       ii. 7.5% of the         iii. 10% of the       iii. 10% of the         iii. 11       Investment         ii. 15 Investment       iii. Subscript         provided the       iv.100% Hair         that are in       Provided the         vi.100% Hair       that are in         1.6       Investment         1.7       Investment         1.7       Investment         1.7       Investment         1.7       Investment         1.7       Investment         1.8       Statutory or         or any othe       i. If unlisted         1.10       Deposit wit         1.11       Other deposit         1.12       Accrued in         etc.(Nii)       100% in resg <th>Assets t in Govt. Securities in Govt. Securities ian: balance sheet value in the case of tenure upto 1 year. be balance sheet value, in the case of tenure from 1-3 years. be balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. ht in Equity Securities so rVaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. I'cut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.</th> <th>2,995,521</th> <th>2,995,521</th> <th>22,060,727</th>	Assets t in Govt. Securities in Govt. Securities ian: balance sheet value in the case of tenure upto 1 year. be balance sheet value, in the case of tenure from 1-3 years. be balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. ht in Equity Securities so rVaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. I'cut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	2,995,521	2,995,521	22,060,727
Intergible           1.2         Intrangible           1.3         Investment           1.4         Investment           1.5% of the         ii. 7.5% of the           ii. 7.5% of the         iii. 10% of the           iii. 10% of the         iii. 10% of the           iii. 15% of the         iii. 11.5% of the           iii. 15% of the         iii. 10% of the           iii. 15% of the         iii. 10% of the           iii. 15% of the         iii. 10% of the           iii. 10% of the         iii. 10% of the           iii. 10% of the         iii. 10% of the           iii. 110% of the         iii. Subscript           provided the         Pledged in           n favor of faschedule II         schedule II           1.6         Investment           1.7         Investment           1.7         Investment           1.8         Statutory or           or any othe         i. If unlisted           1.10         Deposit wit           1.11         Other depo           1.12 <td>Assets t in Govt. Securities in Govt. Securities ian: balance sheet value in the case of tenure upto 1 year. be balance sheet value, in the case of tenure from 1-3 years. be balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. ht in Equity Securities so rVaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. I'cut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.</td> <td>2,995,521</td> <td>2,995,521</td> <td>22,060,727</td>	Assets t in Govt. Securities in Govt. Securities ian: balance sheet value in the case of tenure upto 1 year. be balance sheet value, in the case of tenure from 1-3 years. be balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value, in the case of tenure upto 1 year. the balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. he balance sheet value, in the case of tenure of more than 3 years. ht in Equity Securities so rVaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. I'cut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	2,995,521	2,995,521	22,060,727
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iii. 10% of th       iii. 10% of th       iii. 15% of th       iii. 16 listed 15       respective       iii. 1f unlisted       iii. 10% of th       iii. 10       iii. 11       provided th       vi.100% Hair       that are in       Provided th       Pledged in       in favor of 1       schedule II       1.6       Investment       1.7       Investment       1.7       Investment       1.7       Investment       1.8       Statutory or or any othe       1.9       1.9       Margin deg       1.10       Deposit wit       1.11       Other depot       1.12       Accrued in       etc.(Nil)       100% in resg       1.13       Dividends re       Amount pe       shall not be       1.15       i. Short Term	ne balance sheet value, in the case of tenure of more than 3 years. I than: e balance sheet value in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. ne balance sheet value, in the case of tenure of more than 3 years. nt in Equity Securities S% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) nat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arangements. In such cases, the haircut as provided in 1 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.			22,060,727
If unlisted           i. 10% of the           ii. 12.5% of th           iii. 15% of th           iii. 16% of th           iii. 16 listed 12           provided th           Pledged in           in favor of i           schedule II           1.6           Investment           1.7           Investment           1.7           Investment           1.8           Statutory of           or any othe           1.10           Deposit wit           1.11           Other depo           1.12           Accrued in           etc.(Nii)           1.13           Dividends	I than: e balance sheet value in the case of tenure upto 1 year. the balance sheet value, in the case of tenure from 1-3 years. he balance sheet value, in the case of tenure of more than 3 years. Int in Equity Securities 5% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. iton money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. rcut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in 1 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VAR of each securities as computed by the Securites Exchange for respective securities is higher.			22,060,727
ii. 12.5% of t iii. 15% of tt iii. 15% of tt 1.5 Investmen i. If listed 15 respective ii. Subscript provided tt iv.100% Hair that are in Provided th Pledged in in favor of I schedule II 1.6 Investment i. If listed 20 whichever ii. If unisted 1.8 Statutory of or any othe 1.9 Margin deg 1.10 Deposit wil 1.11 Other depo 1.12 Accrued in etc.(Nil) 100% in resp 1.13 Dividends f 1.14 Amounts re Amount pa shall not be 1.15 i. Short Term	the balance sheet value, in the case of tenure from 1-3 years. The balance sheet value, in the case of tenure of more than 3 years. The balance sheet value, in the case of tenure of more than 3 years. The Equity Securities So or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. The money against Investment in IPO/offer for Sale: Amount paid as subscription money that shares have not been alloted or are not included in the investments of securities broker. The true of the more than a subscription money that shares have not been alloted or are not included in the investments of securities broker. The true of the securities as on reporting date. (July 19, 2017) That 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in 1 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries Th in associated companies/undertaking W or VaR of each securities as computed by the Securites Exchange for respective securities is higher.			22,060,727
iii. 15% of tf           1.5         Investmentiality           i. If listed 15         respective           ii. If unlisted         iii. Subscriptiality           provided th         iv.100% Hair           that are in         Provided th           Provided th         Provided th           1.6         Investmentiality           1.7         Investmentiality           1.7         Investmentiality           1.8         Statutory or or any other           i. If listed 20         whichever           ii. If unlisted         Investmentiality           1.0         Deposit withighty           1.10         Deposit withighty           1.11         Other deposities           1.12         Accrued in etc.(Nil)           1.13         Dividends response           1.14         Amount paragenial not be shall not be	ne balance sheet value, in the case of tenure of more than 3 years. Int in Equity Securities 3% or VaR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. 4, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. Iccut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in 1 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries Int in associated companies/undertaking 1% or VaR of each securities as computed by the Securites Exchange for respective securities is higher.			22,060,727
i. If listed 18 respective: ii. If unlisted iii. Subscript provided th Provided th Pledged in in favor of 1 schedule II 1.6 Investment 1.7 Investment i. If listed 20 whichever ii. If unlisted 1.8 Statutory of or any othe 1.9 Margin dep 1.10 Deposit wit 1.11 Other depo 1.12 Accrued in etc.(Nil) 1.00% in resp 1.13 Dividends r Amount pa shall not be 1.15 i. Short Term	5% or VAR of each securities on the cutoff date as computed by the Securities Exchange for securities whichever is higher. d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. rcut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in I of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries mt in associated companies/undertaking 1% or VAR of each securities as computed by the Securites Exchange for respective securities is higher.			22,060,727
respective         ii. If unlister         iii. Subscript         provided th         iv.100% Hair         that are in         Provided th         Schedule II         1.6         Investment         1.7         Investment         1.8         Statutory or         or any othed         1.10         Deposit wit         1.11         Other depo         1.12         Accrued in         etc.(Nil)         100% in resp         1.13         Dividends fr         1.14         Amount passhall not be         shall not be         1.15         i. Short Term	securities whichever is higher. d, 100% of carrying value. iton money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. icout shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in I of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries In in associated companies/undertaking % or VAR of each securities as computed by the Securites Exchange for respective securities is higher.			22,060,727
ii. If unlisted iii.Subscript provided th iv.100% Hair that are in Provided th Pledged in in favor of I schedule II 1.6 Investment 1.7 Investment i. If listed 20 whichever ii. If unlisted 1.8 Statutory of or any othe 1.9 Margin dep 1.10 Deposit wit 1.11 Other depo 1.12 Accrued in etc.(Nil) 1.00% in resp 1.13 Dividends r Amount pa shall not be 1.15 i. Short Term	d, 100% of carrying value. ion money against Investment in IPO/offer for Sale: Amount paid as subscription money hat shares have not been alloted or are not included in the investments of securities broker. ircut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in l of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking % or VAR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
provided th           iv.100% Hair           that are in           Provided th           Pledged in           in favor of I           schedule II           1.6           Investment           i. If listed 20           whichever           ii. If unlisted           1.8           Statutory or or any other           1.9           Margin dep           1.0           Deposit wit           1.11           Other deposit           1.12           Accrued in etc.(Nil)           100% in resp           1.13           Dividends r           Amounts re Amount pa shall not be           1.15	hat shares have not been alloted or are not included in the investments of securities broker. Incut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in 1 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries Int in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
iv.100% Hair that are in Provided th Pledged in in favor of I schedule II 1.6 Investment i. If nestment i. If listed 20 whichever ii. If unlisted 1.8 Statutory of or any othe 1.9 Margin dep 1.10 Deposit wit 1.11 Other depot 1.12 Accrued in etc.(Nil) 100% in resp 1.13 Dividends r 1.14 Amounts re Amount pa shall not be	rcut shall be applied to Value of Investment in any asset including shares of listed securities Block, Freeze or Pledge status as on reporting date. (July 19, 2017) nat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in 1 of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries nt in associated companies/undertaking 0% or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
that are in Provided th Pledged in in favor of I schedule II 1.6 Investment i. If listed 20 whichever ii. If unlister 1.8 Statutory o or any othe 1.9 Margin dep 1.10 Deposit wit 1.11 Other depo 1.12 Accrued in etc.(Nil) 100% in resp 1.13 Dividends r 1.14 Amounts re Amount pa shall not be	Block, Freeze or Pledge status as on reporting date. (July 19, 2017) hat 100% haircut shall not be applied in case of investment in those securities which are favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in I of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries In t in associated companies/undertaking % or VAR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
Pledged in in favor of I schedule II         1.6       Investment         1.7       Investment         1.7       Investment         1.7       Investment         1.7       Investment         1.7       Investment         1.8       Statutory or or any other         1.9       Margin deg         1.10       Deposit with         1.11       Other deposit etc.(Nil)         100% in resp         1.13       Dividends re Amount pa shall not be         1.15       i. Short Term	favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged Banks against Short Term financing arrangements. In such cases, the haircut as provided in I of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries Int in associated companies/undertaking % or VAR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
in favor of I schedule II 1.6 Investment i. Investment i. If listed 20 whichever ii. If unlisted 1.8 Statutory of or any othe 1.9 Margin dep 1.10 Deposit wit 1.11 Other depo 1.12 Accrued in etc.(Nil) 100% in resp 1.13 Dividends r 1.14 Amounts re Amount pa shall not be	Banks against Short Term financing arrangements. In such cases, the haircut as provided in I of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) t in subsidiaries Int in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
1.6       Investment         1.7       Investment         i. If listed 20       whichever         ii. If unlisted       0         or any othe       0         1.8       Statutory or         or any othe       0         1.9       Margin dep         1.10       Deposit with         1.11       Other depo         1.12       Accrued in         atc.(Nil)       100% in resp         1.13       Dividends r         Amounts re       Amounts re         shall not be       1.15         i. Short Term       1.15	t in subsidiaries nt in associated companies/undertaking % or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
1.7     Investmer i. If listed 20 whichever ii. If unlisted       1.8     Statutory of or any other       1.9     Margin dep       1.10     Deposit with       1.11     Other depo       1.12     Accrued in etc.(Nil) 100% in resp       1.13     Dividends re Amount pa shall not be       1.15     i. Short Term	nt in associated companies/undertaking 1% or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
i. If listed 20 whichever ii. If unlister 1.8 Statutory of or any othe 1.9 Margin dep 1.10 Deposit wit 1.11 Other depo 1.12 Accrued in etc.(Nil) 100% in resp 1.13 Dividends r 1.14 Amounts re Amount pa shall not be 1.15 i. Short Term	% or VaR of each securities as computed by the Securites Exchange for respective securities is higher.	7,000,000	7 000 000	
ii. If unlisted       1.8     Statutory or or any other       1.9     Margin deg       1.10     Deposit wit       1.11     Other deposit wit       1.12     Accrued in etc.(Nil)       100% in resp       1.13     Dividends n       1.14     Amounts re shall not be       1.15     i. Short Term		7,000,000	7 000 000	I
1.8       Statutory or or any other or any other         1.9       Margin deg         1.10       Deposit with         1.11       Other deposit         1.12       Accrued in etc. (Nil)         100% in resp         1.13       Dividends r         1.14       Amounts re Amounts re shall not be         1.15       i. Short Term		7,000,000	7 000 000	
1.9     Margin dep       1.10     Deposit wit       1.11     Other depo       1.12     Accrued in       etc.(Nil)     100% in resp       1.13     Dividends r       1.14     Amounts re       shall not be       1.15     i. Short Term	r regulatory deposits/basic deposits with the exchanges, clearing house or central depository		1,000,000	
1.10     Deposit with       1.11     Other deposit       1.12     Accrued in       etc.(Nil)     100% in resp.       1.13     Dividends r       1.14     Amounts re       Amount pashall not be       1.15     i. Short Term	er entity. posits with exchange and clearing house.	25,000	0	25,000
1.12     Accrued in etc.(Nil) 100% in resp.       1.13     Dividends resp.       1.14     Amounts resp.       Amounts resp.     shall not be       1.15     i. Short Term	th authorized intermediary against borrowed securities under SLB.	815,973	0	815,973
etc.(Nil) 100% in resp 1.13 Dividends r 1.14 Amounts re Amount pa shall not be 1.15 i. Short Term	osits and prepayments terest, profit or mark-up on amounts placed with financial institutions or debt securities	0	0	
1.13     Dividends r       1.14     Amounts re Amount pa shall not be       1.15     i. Short Tern				
1.14Amounts re Amount pa shall not be1.15i. Short Tern	beect of markup accrued on loans to directors, subsidiaries and other related parties			
Amount pa shall not be 1.15 i. Short Tern	eceivables. eceivable against Repo financing.			
1.15 i. Short Tern	aid as purchaser under the REPO agreement. (Securities purchased under repo arrangement e included in the investments.)			
	n Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	bles other than trade receivables bles from clearing house or securities exchange(s)	803,619	803,619	
	ue of claims other than those on account of entitlements against trading of securities in all			
	cluding MtM gains. De on entitlements against trading of securities in all markets including MtM gains.			
	ble from customers			
i. In case re	ceivables are against margin financing, the aggregate if (i) value of securities held in the			
	count after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) ue of any securities deposited as collateral after applying VaR based haircut.			
	net balance sheet value or value determined through adjustments.			
	ceivables are against margin trading, 5% of the net balance sheet value.			
	ount after deducting haircut eceivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
collateral u	upon entering into contract,			
	ount after deducting haricut of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	702,427	0	702,427
iv. Balance	sheet value			
	without wards receivables are every or bidays or more, the addregate of (i) the market	6,380,909	2,262,821	2,262,821
haircuts, (ii	f other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market curities purchased for customers and held in sub-accounts after applying VAR based			
	curities purchased for customers and held in sub-accounts after applying VAR based ) cash deposited as collateral by the respective customer and (iii) the market value of	1		I
	curities purchased for customers and held in sub-accounts after applying VAR based ) cash deposited as collateral by the respective customer and (iii) the market value of reld as collateral after applying VaR based haircuts.	V U33 860	4 033 860	
i. Bank Bala	curities purchased for customers and held in sub-accounts after applying VAR based ) cash deposited as collateral by the respective customer and (iii) the market value of	4,033,869	4,033,869	
ii. Bank bal iii. Cash in	curities purchased for customers and held in sub-accounts after applying VAR based ) cash deposited as collateral by the respective customer and (iii) the market value of reld as collateral after applying VaR based haircuts. ircut in the case of amount receivable form related parties. I Bank balances ance-proprietory accounts	4,033,869 29,531,131 8,573,546	0	29,531,131 8,573,546

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-MAY-20** 

of M/s. NINI Securities (Pvt.) Ltd.

Submission Date 15-JUN-2020 16:06:09

	Submission Date 15-3011-2020 10.00.09		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	100,247,752	34,272,683	64,119,802
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable to customers	8,019,481	0	8,019,481
2.2	Current Liabilities			
	i. Statutory and regulatory dues	70,562	0	70,562
	ii. Accruals and other payables iii. Short-term borrowings	70,362	0	70,562
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	vili. Provision for taxation			
0.0	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing			
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits			
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory reguirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements Subordinated Loans			
2.7	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	8,090,043	0	8,090,043
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
25	exceed the total liabilities of the subsidiary			
	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-MAY-20** 

of M/s. NINI Securities (Pvt.) Ltd.

Submission Date 15-JUN-2020 16:06:09

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	2,844,136	2,844,136
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the <u>customer as collateral and the value of securities held as collateral after applying VAR based Haircuts</u> ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	2 944 126	2 944 126
3.11 3.12	Total Ranking Liabilites Liquid Capital	0 92,157,709	2,844,136 31,428,547	2,844,136 53,185,623